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\*\*\*\*\*

I, Elaine Willig, hereby certify that the below identified  
correspondence is being facsimile transmitted to the United  
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Signature: Elaine Willig

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Pages being transmitted:

Total No. of Pages including this cover letter: 3

1. Information Disclosure Statement (1 page)
2. Form PTO/SB/08 (1 page)

Application No.: 10/740,255  
Filed: 12/18/2003  
Inventor: Rutter  
Attorney Docket No.: CHM-019

COMMENTS:

Attorney Docket No. CHM-019

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

Michael John RUTTER :

Serial No.: 10/740,255 :

Filed: December 18, 2003 :

For: ADJUSTABLE COLLAR AND RETAINER FOR ENDOTRACHEAL TUBE

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**INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.56, 1.97 and 1.98  
(WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST OFFICE ACTION)**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

In accordance with 37 CFR 1.56, 1.97 and 1.98, Applicant requests the Examiner to make of record the documents listed on the attached PTO/SB/08 form. In accordance with MPEP 609, the Examiner is requested to initial each document listed on the attached PTO/SB/08 form in the space provided, make a copy of the initialed form, and return the copy to Applicants' attorney.

This paper and the enclosed documents are being submitted in accordance with 37 CFR 1.97(b), i.e., within three (3) months of the application filing date or prior to a first Office Action on the merits. Therefore, no fee is believed to be due.

Respectfully submitted,

For: Michael J. RUTTER

By   
Donald E. Hasse  
Attorney for Applicant(s)  
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Customer Number: 31838

March 18, 2004

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

## *Complete if Known*

Application Number 10/740,255  
 Filing Date December 18, 2003  
 First Named Inventor Michael John Rutter  
 Art Unit  
 Examiner Name  
 Attorney Docket Number CHM-019

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)				
		US-	4,223,671	09-23-1980	Muto	
		US-	4,516,293	05-14-1985	Beran	
		US-	4,906,234	03-06-1990	Voychchovski	
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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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